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Short title: Modeling chlorophyll reduction in soybean canopies

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Full Title: Chlorophyll can be reduced in crop canopies with little penalty to photosynthesis

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#### **1** One sentence Summary (200 characters)

An empirically parameterized model of canopy photosynthesis in soybeans reveals that leaf
chlorophyll can be reduced with significant nitrogen savings and only minor reductions in daily
carbon gain.

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# 6 List of author contributions:

7 BJW, RAS and DRO conceived the idea of using light-green soybean germplasm to parameterize

8 a canopy model. BJW made the measurements of the light-green soybean optical properties

9 and gas exchange parameters. YBC measured soybean protein content. BJW, DTD and AV

10 designed, performed and interpreted the simulations. BJW, DTD, RAS, AV and DRO wrote the

11 final paper.

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28 Abstract:

29 The hypothesis that reducing chlorophyll content (Chl) can increase canopy photosynthesis in 30 soybeans was tested using an advanced model of canopy photosynthesis. The relationship between leaf Chl, leaf optical properties, and photosynthetic biochemical capacity were 31 32 measured in 67 soybean accessions showing large variation in leaf Chl. These relationships were integrated into a biophysical model of canopy-scale photosynthesis to simulate the inter-33 canopy light environment and carbon assimilation capacity of canopies with WT, a Chl-deficient 34 35 mutant (Y11y11), and 67 other mutants spanning the extremes of Chl to quantify the impact of variation in leaf-level Chl on canopy-scale photosynthetic assimilation and identify possible 36 37 opportunities for improving canopy photosynthesis through Chl reduction. These simulations 38 demonstrate that canopy photosynthesis should not increase with Chl reduction due to increases in leaf reflectance and non-optimal distribution of canopy nitrogen. However, similar 39 rates of canopy photosynthesis can be maintained with a 9% savings in leaf nitrogen resulting 40 from decreased Chl. Additionally, analysis of these simulations indicate that the inability of Chl 41 reductions to increase photosynthesis arises primarily from the connection between Chl and 42 leaf reflectance and secondarily from the mismatch between the vertical distribution of leaf 43 44 nitrogen and the light absorption profile. These simulations suggest that future work should 45 explore the possibility of using reduced Chl to improve canopy performance by adapting the distribution of the "saved" nitrogen within the canopy to take greater advantage of the more 46 47 deeply penetrating light.

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# 55 Abbreviations used

- $\Phi_{co2}$ : Quantum efficiency of carbon assimilation (mol CO<sub>2</sub> mol quantum<sup>-1</sup>)
- **A**: Carbon assimilation rates ( $\mu$ mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>)
- $A_{can}$ : Canopy carbon assimilation rates (µmol CO<sub>2</sub> m<sup>-2</sup> ground s<sup>-1</sup>)
- $A_{\text{leaf}}$ : Leaf carbon assimilation rates (µmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>)
- $A_n$ : Net carbon assimilation rates (µmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>)
- **A-C**<sub>i</sub>: Photosynthetic response to CO<sub>2</sub> concentration (unitless)
- 62 Can<sub>A</sub>: Canopy absorbance (unitless)
- 63 Can<sub>R</sub>: Canopy reflectance (unitless)
- **Can**<sub>T</sub>: Canopy transmittance (unitless)
- **Chl**: Chlorophyll content ( $\mu$ mol m<sup>-2</sup>)
- 66 DOY: Day Of Year
- $J_{max}$ : Maximum rate of electron transport (µmol e m<sup>-2</sup> s<sup>-1</sup>)
- $k_n$ : Coefficient of leaf nitrogen allocation (unitless)
- 69 LAD: Leaf Area Density (leaf area/ground area for a given layer volume)
- 70 LAI: Leaf Area Index (leaf area/ground area)
- *L*<sub>A</sub>: Leaf absorbance (unitless)
- *L*<sub>R</sub>: Leaf reflectance (unitless)
- 73 L<sub>T</sub>: Leaf transmittance (unitless)
- 74 MLCan: MultiLayer Canopy-root-soil model
- **PAR**: Photosynthetically Active Radiation (W m<sup>-2</sup>)
- **PPFD:** Photosynthetic Photon Flux Density ( $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>)
- **PPFD**<sub>A</sub>: Absorbed Photosynthetic Photon Flux Density ( $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>)
- 78 PSII: Photosystem II
- $R_d$ : Leaf day respiration (µmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>)
- $V_{cmax}$ : Maximum rate of Rubisco carboxylation (µmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>)
- 81 WT: Wild-Type

# 82 Introduction

83 Global food production must increase to provide for the dietary needs of an increasing global 84 population with greater affluence (Ray et al., 2013; Kromdijk and Long, 2016). One strategy to 85 increase food production per unit land area is to increase the efficiency of photosynthetic conversion of Photosynthetic Photon Flux Density (PPFD; units noted in abbreviation table for 86 this and subsequent abbreviations) into biomass, which is currently less than half the 87 theoretical maximum in many major food crops (Long et al., 2015; Ort et al., 2015; Slattery and 88 89 Ort, 2016). One reason for suboptimal conversion efficiency is that photosynthesis saturates above 25% of full sunlight and most incoming PPFD is absorbed by fully-green upper canopy 90 91 leaves, meaning that the majority of light absorption occurs where photosynthesis is least 92 efficient due to saturation while lower layers have greater efficiency due to shading (Long et al., 2006; Zhu et al., 2008; Ort et al., 2011; Drewry et al., 2014). This situation suggests that 93 decreasing leaf absorbance  $(L_A)$  through reductions in leaf chlorophyll content (Chl) could 94 95 improve canopy assimilation efficiency by allowing more optimal distribution of PPFD within 96 the canopy.

Increasing canopy photosynthesis through reduced Chl has been considered as a 97 98 potential optimization strategy for over 30 years (as summarized in Laisk, 1982; Osborne and 99 Raven, 1986). In an early modeling approach integrating the simulated impact of Chl reduction 100 of a single mutant accession to leaf and canopy light distribution to photosynthesis, Chl 101 reduction was projected to increase soybean (Glycine max Merr.) canopy photosynthesis by 8% under clear-sky conditions, but much of this gain appears to be due to leaf-level improvements 102 103 in light-saturated photosynthetic rates (Gutschick, 1984a, 1984b, 1988). Efforts to test the 104 impact of reduced Chl on canopy-level carbon assimilation  $(A_{can})$  have produced conflicting results. While rates of carbon assimilation (A) of mutant algae with reduced light-harvesting 105 106 capacity often show increased A when grown in mass culture (Melis, 1999; Polle et al., 2003; 107 Mitra and Melis, 2008; Kirst et al., 2012), results from plant canopies are less clear perhaps 108 because they represent complex arrangements of foliage through which radiation transfer

processes and vertical variations in photosynthetic capacity interact (Leuning et al., 1995; De
Pury and Farquhar, 1997; Baldocchi et al., 2002).

111 There is much experimental and computational work examining the possibility of increasing canopy photosynthesis through chlorophyll reduction on plant canopies on soybean 112 (Gutschick, 1984a, 1984b, 1988; Pettigrew et al., 1989; Xu et al., 1994; Drewry et al., 2014; 113 Slattery et al., 2016; Slattery et al., 2017), which grows in dense canopies and has a large variety 114 of accessions with reduced Chl (Supplemental File 1 and Figure 1). One such accession is a 115 magnesium chelatase mutant, Y11y11 (Campbell et al., 2015), which contains less than half the 116 Chl on a leaf area basis of its nearly isogenic wild type (WT). Some experiments with Y11y11 117 118 show an increase in  $A_{cap}$  (Pettigrew et al., 1989) and others show little or no effect (Xu et al., 1994; Slattery et al., 2017) despite more even leaf-level light distribution to chloroplasts 119 120 (Slattery et al., 2016). In the most systematic field examinations to date, leaf rates of carbon assimilation ( $A_{leaf}$ ),  $A_{cap}$ , conversion efficiency of absorbed PPFD into biomass, and yield were 121 compared between the Y11y11 and the nearly isogenic WT. While there were no clear benefits 122 123 to canopy-level carbon assimilation, there was also little detriment. Despite a >50% reduction in 124 Chl, only modest reductions in biomass and yield were observed likely due to the negative 125 pleiotropic effects specific to Y11y11 discussed below (Slattery et al., 2017).

The conflicting results concerning the benefits of Chl reduction in higher plant canopies 126 127 may partially result from the limitations of fieldwork where environment is variable and a 128 limited number of accessions can be examined simultaneously. Given the complex interactions of dense plant canopies with incoming PPFD (i.e., Drewry et al, 2010a, Niinemets, 2007; 129 Hikosaka et al., 2016)), it is possible that a selected cultivar with reduced Chl has leaf optical 130 properties that are sub- or supra-optimal for a given season depending on environmental 131 forcing and plant development for that particular year. In addition, negative pleiotropic effects 132 can accompany light-green phenotypes due to the specific nature of the mutation, further 133 confounding experimental results. For example, environmental differences combined with 134 135 pleiotropic effects might explain the conflicting differences measured in Y11y11, which has higher water loss due to increased stomatal conductance. In water replete conditions, Y11y11 136

### Figure 1





Figure 1: Examples of some of the 67 soybean lines with decreased chlorophyll content (a). Leaf punches prepared for chlorophyll fluorescence imaging (b). Most of the lines were obtained from the USDA soybean germplasm collection and others from the Fast Neutron Soybean Mutagenesis project. Lines were characterized for chlorophyll content, leaf transmittance and leaf reflectance.

had higher  $A_{can}$  as compared to WT (Pettigrew et al., 1989), whereas no difference was

138 observed under water-limiting conditions (Slattery et al., 2017).

Since A measurements are often localized temporally or spatially to a few positions in 139 140 the canopy, a vertically-resolved modeling approach that incorporates the detailed biophysical 141 coupling between radiation transfer, photosynthetic biochemical capacity and canopy development can provide insights into the complex relationship between leaf Chl and  $A_{ran}$ . Here 142 143 we use a multilayer canopy-root-soil model (MLCan), which couples the biophysical, ecophysiological and biochemical functions of above-ground vegetation with a vertically 144 resolved model of soil moisture to simulate and directly evaluate the impacts of leaf Chl and 145 146 associated optical properties in canopies that are otherwise identical (Drewry et al., 2010a; 147 Drewry et al., 2010b). MLCan is driven by meteorological data and integrates vertically-resolved 148 leaf-level exchanges of  $CO_2$ , water vapor and energy to canopy-scale fluxes. Such canopy

models use assumptions of leaf optical properties (leaf reflectance  $(L_R)$ ; leaf transmittance  $(L_T)$ ; 149 150 and  $L_{\Delta}$ ) to simulate within-canopy distributions of PPFD as functions of downwelling solar

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radiation, solar zenith angle, foliage density and leaf angle distributions (De Pury and Farguhar, 1997; Campbell and Norman, 1998; Lai et al., 2000; Baldocchi et al., 2002; Drewry et al., 2010a).

An additional consideration of the ability of Chl reduction to increase canopy 153 photosynthesis is the impact of subsequent re-distribution of nitrogen and photosynthetic 154 capacity. Chlorophyll is associated with a large portion of leaf nitrogen, not only present in the 155 Chlorophyll (4 molecules to complex the magnesium ion or 0.06 g N mmol Chl<sup>-1</sup>), but also in the 156 157 Photosystem I and II core complexes (PSI and PSII, respectively), and the light harvesting 158 complexes that coordinate the chlorophyll molecules for light energy capture. The chlorophyll binding proteins of the light reactions and antenna complex contain 5,000, 6,040 and 338 mol 159 nitrogen (mol reaction center or LHCII molecule)<sup>-1</sup> for PSII, PSI and LHCII, respectively (Hikosaka 160 and Terashima, 1995). Assuming that PSII, PSI and LHCII are associated with 60, 184 and 13 161 chlorophyll molecules each, this means that the total nitrogen associated with each chlorophyll 162 molecule is 1.23, 0.52 and 0.42 g nitrogen mmol Chl<sup>-1</sup> for chlorophyll associated with PSII, PSI 163 164 and LHCII respectively (Evans and Seemann, 1989; Kuhlbrandt et al., 1994; Hikosaka and 165 Terashima, 1995; Niinemets and Tenhunen, 1997). In soybean, chlorophyll-related nitrogen is not trivial, for example, fully-expanded field-grown soybean leaves contained 1.75 g nitrogen m 166  $^{2}$  and Chl of 320 µmol m<sup>-2</sup> (Ainsworth et al., 2007), resulting in a total chlorophyll-associated 167 nitrogen cost of 8-22% depending on how much chlorophyll is partitioned to each chlorophyll 168 binding protein. This nitrogen must be partitioned through the canopy and theoretical analyses 169 170 have suggested that the vertical distribution of leaf nitrogen should optimally be in direct 171 proportion to light intensity at a given canopy layer (Field, 1983; Leuning et al., 1995; Sands, 1995), but experimental evidence demonstrates that canopies do not optimally distribute 172 173 nitrogen, instead over-investing nitrogen when irradiance is low and under-investing when 174 irradiances are high (Niinemets, 2007; Niinemets et al., 2015). These observations suggest two hypothesis that can be tested; 1) There is a significant nitrogen investment in Chl-related 175 176 proteins that can be decreased through Chl reduction with minimal impact to canopy

photosynthesis and 2) Changes in Chl impact canopy PPFD distribution enough to changeoptimal vertical nitrogen distribution.

179 Here we parameterize MLCan with the field measured relationships among Chl, leaf 180 optical properties, and leaf biochemistry to more realistically simulate the integrated canopy 181 response to ChI reduction, as well as resolve leaf-level variations that could translate to changes in A<sub>can</sub> and determine the metabolic savings (in terms of actual chlorophyll molecules and 182 associated proteins) of canopies with reduced leaf absorbance ( $L_{\Delta}$ ). In one set of simulations. 183 this revised MLCan model was parameterized with leaf properties of a WT (cv Clark) and the 184 nearly isogenic low-Chl Y11y11 mutant (Pettigrew et al., 1989; Campbell et al., 2015; Slattery et 185 186 al., 2017). In a second set of simulations, we simulated synthetic canopies constructed with foliage across a range of ChI that indicate ChI can be reduced by up to 80% with only slight 187 decreases in  $A_{can}$ . Additionally, while ChI reduction can often result in vertically-resolved canopy 188 domains with higher light use efficiencies, these increased efficiencies only resulted in higher 189 net A in the lower canopy layers of dense canopies and did not result in a net canopy 190 improvement to carbon fixation capacity. Furthermore, while lower Chl canopies were 191 expected to have different optimal nitrogen distributions, changes in N distribution through the 192 193 canopy alone were not found to increase  $A_{can}$  as compared to dark green canopies. These 194 findings present valuable quantitative relationships between Chl, leaf optical properties and biochemistry for future efforts in optimizing canopy performance through Chl reduction, and 195 more broadly for modeling the impact of Chl variation on canopy biophysics. 196

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# 198 Results

199 We present the results of the empirical measurements and numerous canopy simulations in 200 three main sections to better organize their content and motivation. The first section presents 201 the primary empirical relationships among leaf optical properties, photosynthetic biochemistry, 202 and Chl measured across the panel of field-grown soybean accessions displaying a range of Chl 203 that were used to parameterize subsequent canopy simulations in the second and third section. The second section tests if a specific accession (Y11y11) is expected to show an increased 204 205 canopy and/or within-canopy performance through detailed daily and season-long comparisons 206 between a simulated canopy of WT and Y11y11 soybeans. This accession was selected due to the amount of available field data to provide parameterizations and model validation. The final 207 section determines, by simulating synthetic canopies with a broad range of Chl amounts, if 208 209 there is any change in leaf Chl level that would be predicted to increase photosynthetic performance. The impact of biochemical capacity scaling with Chl is explored in the simulations 210 211 of section I and II by either including or not the relationship measured and presented in section 212 I. Additionally, the impact of changing nitrogen distribution, and subsequent vertical biochemical capacity distribution, is investigated in both sets of simulations. 213

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# Section I: Empirical relationships among leaf optical properties, photosynthetic biochemistry and Chl

A panel of 67 Chl deficient mutants and parent lines provided an approximately uniform 217 distribution of Chl across the range of ~100 to 500  $\mu$ mol m<sup>-2</sup> resulting in a similarly wide range 218 of leaf optical properties (Figure 2). As expected,  $L_{\rm R}$  and  $L_{\rm R}$  decreased with increasing Chl while 219 220  $L_{\rm A}$  increased with increasing Chl (Figure 2). Interestingly, although the ratio of  $L_{\rm R}$  to  $L_{\rm R}$  showed 221 no clear trend as a function of ChI (supplemental 1a) the average value across all accessions 222 was ~1 across most of the Photosynthetically Active Radiation (PAR) spectrum (Supplemental 223 1b). The relationships between Chl and leaf optical properties for the PAR region of the 224 spectrum from the data are shown in Figure 2 (See Equations 1-2 in materials and methods and final fits in Supplemental 3). 225



Figure 2: Shown are the relationships between leaf chlorophyll content and reflectance ( $L_R$ , a), transmittance ( $L_T$ , b) and absorbance ( $L_A$ , c) across the spectrum of photosynthetically active radiation.

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There was a clear relationship between Chl and both  $V_{cmax}$  and  $J_{max}$  in our accessions





Figure 3: Observed impact of the variation in chlorophyll content (Chl) on photosynthetic performance. 45 plants from various cultivars of soybean were measured using a LI-COR 6400-XT gas exchange system to derive maximum rate of carboxylation (V<sub>cmax</sub>) and electron transport (J<sub>max</sub>) from photosynthetic carbon dioxide response curves. Chl was determined from SPAD measurements according to the relationship presented in Supplemental 12. Also shown is the modeled relationship of Chl to V<sub>cmax</sub> of Houborg 2013 (dotted line). Circled data represents the values measured in the low chlorophyll mutant *Y11y11*.

with reduced Chl (Figure 3, Equations 3 and 4 in materials and methods). There was also a
strong relationship between Chl and total carotenoids (R<sup>2</sup> = 0.75, Supplemental 2). This
relationship was linear for much of the Chl range with between 0.3-0.4 carotenoid molecules
per chlorophyll molecule but increased at low Chl until there were more carotenoid molecules
than chlorophyll molecules (Supplemental 2b).

232 Notably, there was variability in the relationship between  $V_{cmax}$  and Chl, indicating some cultivars maintained higher  $V_{\rm cmax}$  for a given Chl than others and pointing to the potential for 233 234 the development of plants with low Chl and high photosynthetic biochemical capacity. 235 Consistent with past work, which saw no significant differences in the  $V_{\rm cmax}$  or  $J_{\rm max}$  of Y11y11 compared to the WT (Slattery et al., 2017), we saw only slight differences in the  $V_{cmax}$  or  $J_{max}$  of 236 Y11y11 as compared to the higher Chl lines (Figure 3). A similar increase in variability across 237 the mean was observed in  $J_{max}$  as Chl decreased below ~250 µmol m<sup>-2</sup> (R<sup>2</sup> of 0.21). This 238 decrease in  $J_{max}$  could have been driven in part by lower photosystem II (PSII) quantum 239 efficiency in some mutant lines with reduced Chl. Indeed, chlorophyll fluorescence analysis 240 revealed that the maximum efficiency that PSII was able to use photons to perform 241 242 photochemistry ( $F_v/F_m$ ) and electron transport rates (ETR) showed similar increasing variability 243 in these parameters in lines with reduced Chl (Supplemental 4, Baker, 2008). There was no clear correlation between Chl and stomatal conductance ( $g_s$ ) or leaf day respiration ( $R_d$ , 244

245 Supplemental 5).

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#### 247 Section II: Comparisons between a simulated WT and Y11y11 canopy

The relationships measured above were next incorporated into daily and seasonal simulations 248 of WT and Y11y11 soybean canopies to investigate the PPFD and A distribution of this well-249 250 studied accession. Seasonal incident PPFD, air temperature, precipitation and ambient water 251 vapor pressure were identical between the WT and Y11y11 simulations while seasonal 252 variations in leaf area index (LAI) and Chl were constructed from interpolated measurements 253 from the 2013 growing season (Supplemental 6 Slattery et al., 2017). As shown previously, the 254 seasonal LAI was similar between WT and Y11y11 (Supplemental 6e), but the leaf area 255 distribution (LAD) of Y11y11 was denser in the lower canopy (Slattery et al., 2017). Leaf optical 256 properties were varied over the growing season according to leaf Chl using the empirically 257 measured relationship for each cultivar (Figure 2, Supplemental 3, equations 1 and 2). Additionally, while not incorporated into MLCan but important for understanding nitrogen use 258 259 efficiency and partitioning, neither leaf nitrogen nor soluble protein content were different between WT and Y11y11 for most sampled days, the exception being a significantly higher 260

soluble protein content on a leaf area and weight basis on DOY 193 (Supplemental 7).

Interestingly, since *Y11y11* had about half the Chl as WT and leaf N and soluble protein were
similar, this resulted in a lower percentage of leaf nitrogen associated with Chl assuming all Chl
was bound by LHCII, the lowest nitrogen containing chlorophyll binding protein (0.42 g nitrogen
mmol Chl<sup>-1</sup>) and a higher protein:Chl ratio in *Y11y11* (Supplemental 7).

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Daily simulations of WT and Y11y11 soybean with accession-specific parameterizations 267 To examine the temporally and spatially resolved impacts of variations in chlorophyll content 268 269 and associated leaf optical properties of field-grown Y11y11, simulations were conducted for 270 two representative days of the year (DOY's, 193 and 230) with similar total downwelling PPFD but distinguished by canopy LAI (early and late growing season). These simulations allowed us 271 272 to visualize the differences in the radiative regime and vegetation function that were induced by the contrasting properties of WT and Y11v11 plants, both throughout the vertical canopy 273 space and over the course of diurnal variation in environmental forcing (Figure 4). Early in the 274 season when LAI was low ( $\sim$ 2.7), WT canopies had only slightly greater PPFD absorbance 275 276  $(PPFD_{A})$  than their light green counterparts in the upper ~40% of the canopy, which drove 277 greater A at upper layers within the canopy in WT (Figure 4a and c). Later in the season when the canopies were more dense, WT canopies had greater  $PPFD_{A}$  in the upper ~10-20% of the 278 279 canopy, while Y11y11 PPFD<sub>A</sub> was greater in the lower 75-80% (Figure 4b). These differences in  $PPFD_A$  distribution in denser canopies drove different rates of A throughout the vertical canopy 280 domain, with WT plants having greater A in the top 10% of the canopy at mid-day and Y11y11 281 having higher A in the lower 90% of the canopy relative to WT plants (Figure 4d). On both days, 282 Y11y11 canopies generally had a higher quantum efficiency ( $\Phi_{CO2}$ ) in most canopy layers, 283 indicating more efficient use of PPFD<sub>A</sub> due to less over saturation of photosynthetic capacity 284 285 (Figure 4e and f). On DOY 193 the Y11y11 canopy was more efficient throughout the entire 286 vertical profile for most of the day, excepting short periods at the beginning and end of each photoperiod when oversaturation was low in both canopies due to low incident light levels. On 287 288 DOY 230 Y11y11 canopy was more efficient throughout the entire vertical profile for most of 289 the day, except for the bottom 20% of the canopy (Figure 4f).



Figure 4: Vertically-resolved, diurnal differences in absorbed PPFD ( $\Delta$ PPFD<sub>A</sub>; a and b), net photosynthetic CO<sub>2</sub> assimilation ( $\Delta$ A; c and d), and the quantum efficiency of CO<sub>2</sub> assimilation ( $\Delta$ Φ<sub>co2</sub>; e and f) between a mutant with reduced chlorophyll content (*Y11y11*) and wild-type (WT) before canopy closure early in the growing season (DOY 193 LAI =2.7: a, c and e) and at peak LAI (DOY 230 LAI=7.5: b, d and f). Color maps shows the difference between *Y11y11* and WT canopies (*Y11y11* - WT values) at each of the vertical canopy regions above ground normalized by the canopy height (*z*/h). Positive values (yellow / red) represent regions of the canopy where *Y11y11* has a larger value than WT, and blue values are regions where the WT values are higher. The two selected days were both cloudless and received similar amounts of total downwelling radiation (Supplemental 5). Wild-type and *Y11y11* canopies were parameterized using genotype-specific chlorophyll contents and leaf area indices (reference Becky's paper here). Values are expressed on a ground area basis.

290

Figure 4

- 291 Daily simulations of WT and Y11y11 in response to LAI with constant meteorological forcing to
- assess the impact of biochemical scaling with Chl and nitrogen distribution

293 We next repeated the simulations of Fig. 4 to determine the impact of scaling

- biochemical capacity with Chl through a canopy with Chl (~50% reduction) similar to Y11y11.
- 295 WT was represented as high Chl=400 µmol m<sup>-2</sup> and Y11y11 as low Chl=200 µmol m<sup>-2</sup> for each of
- the two assumed LAI's (less dense LAI = 2.7 m<sup>2</sup> m<sup>-2</sup> and more dense LAI=7.5 m<sup>2</sup> m<sup>-2</sup>). The
- 297 simulations using the averaged diurnal cycle of environmental forcing revealed a response

298 similar to the season-long simulations when photosynthetic biochemical capacity was not scaled with Chl (Supplemental 8). In less dense canopies, high Chl canopies had higher PPFDA 299 300 than low Chl canopies at every canopy layer, which in turn drove higher or equal A at every 301 canopy layer (Supplemental 8a and c). Interestingly, the higher PPFD<sub>A</sub> of high Chl canopies did not drive A as efficiently as low Chl canopies, as can be seen from the higher  $\Phi_{CO2}$  of low Chl 302 canopies at midday throughout much of the canopy profile (Supplemental 8e). In denser 303 canopies, high Chl values produced higher  $PPFD_A$  in the upper layers, but not in the lower, 304 305 resulting in slightly higher A in the lower canopy of low Chl canopies, where effective shading by the upper canopy foliage was relatively reduced (Supplemental 8b and d). As expected, the 306 increased light distribution through the canopy resulted in a greater  $\Phi_{CO2}$  of low Chl canopies 307 308 through much of the canopy profile (Supplemental 8f). Interestingly, high Chl canopies had a slightly greater  $\Phi_{CO2}$  in the very lowest regions of the canopy (Supplemental 8f). 309

However, when photosynthetic biochemical capacity was scaled according to equations 3 and 4, A was reduced independently of differences in LAI (Supplemental 9a-d). This resulted in large decreases in  $\Phi_{CO2}$  in both LAI simulations during the majority of the day when light levels were highest (Supplemental 9e and f). The regions of improved *Y11y11* net carbon exchange forecasted during night-time were a result of decreased modeled  $R_d$ , stemming from MLCan's use of  $V_{cmax}$  to estimate rates of  $R_d$  (*viz.*  $R_d = 0.015 * V_{cmax}$ ).

316 Seasonal simulations of WT and Y11y11

317 We next used this approach to examine the dynamics of  $PPFD_A$  and A throughout the canopy 318 across the entire 2013 growing season using observed forcing data from midday as measured in 319 Champaign, Illinois. Figure 5 shows the differences between WT and Y11y11 canopies over an 320 almost 90-day period. The same general trends seen in the representative days presented in 321 Figure 4 are seen throughout the growing season. Early and late in the season, there was little difference in PPFD<sub>A</sub> or A between WT and Y11y11, except for slightly larger values for WT plants 322 323 in the uppermost canopy layers (Figure 5a and c). As canopy density increased between DOYs 324 200 and 250, PPFD<sub>A</sub> and A were increased in the uppermost canopy layers of WT relative to Y11y11, but the percentage of the vertical profile where Y11y11 PPFD<sub>A</sub> and A was greater than 325 326 WT increased and peaked when LAI was the highest (~DOY 235; Supplemental 6e) during clear-





Figure 5: Vertically resolved differences in absorbed photosynthetically active radiation  $(\Delta PPFD_A, a)$  and net CO<sub>2</sub> assimilation  $(\Delta A, c)$  between a simulated soybean canopy with reduced chlorophyll content (*Y11y11*) and a wild-type (WT) canopy, at mid-day time points for days of year (DOY) spanning the growing season. Vertical profiles (height above ground normalized by canopy height, z/h) are presented for midday periods (1:00PM) of each day throughout the growing season. Also shown are the seasonally-integrated values for PPFD<sub>A</sub> (b) and *A* (d). Color map shows the difference between *Y11y11* and WT canopies (*Y11y11* - WT values) at each of the canopy regions, normalized by the canopy height (z/h). Positive values (more red) represent regions of the canopy where *Y11y11* has a larger value than WT, and more blue values are regions where the WT values are higher. These simulations were driven by field-measured incident radiation, temperatures, and precipitation (see Supplementary Figure 5). Wild-type and *Y11y11* canopies were parameterized using genotype-specific chlorophyll contents and leaf area indices (Slattery 2017).

- 327 sky conditions when radiation forcing was greatest. For the daily integrals of PPFD<sub>A</sub> and A over
- the duration of the growing season, WT canopies absorbed more PPFD (10%) and assimilated
- more carbon dioxide (4%) than *Y11y11* (Figure 5ba and d).

The seasonal  $\Phi_{CO2}$  variations showed that Y11y11 canopies were more light-use efficient 330 than WT canopies at many canopy layers and on many days during the growing season (Figure 331 6). With simulations parameterized with Y11y11-specific LAI values and using WT biochemical 332 capacity, the vertical profile of  $\Phi_{CO2}$  agreed with the diurnal profiles from single days, with 333 334 increased  $\Phi_{CO2}$  in Y11y11 simulated at the upper canopy during periods when LAI was the highest (Figure 6a and b, Supplemental 6 and 8). The increased  $\Phi_{CO2}$  for Y11y11 in the upper 335 336 canopy when biochemical parameters were held constant decreased in simulations performed 337 using constant biochemical parameters but LAI and LAD values from WT canopies (Figure 6c). 338 With both assumptions of LAI,  $\Phi_{CO2}$  appeared at first to increase in Y11y11 as indicated by the more red regions of the vertical canopy across the season when photosynthetic biochemistry 339 was scaled with ChI (Figure 6b and 6d). While this simulation indicated a higher average  $\Phi_{CO2}$ 340 value in Y11y11 with biochemical scaling, a closer examination revealed that the improvements 341 in  $\Phi_{CO2}$  occurred only when PPFD<sub>A</sub> and  $A_n$  were the lowest (Supplemental 10). 342

343

344

### 345 Section III: Using synthetic canopies to explore a range of Chl and nitrogen distributions

We next used the field data collected across the diverse soybean accessions to develop a
second set of simulation experiments designed to quantify canopy performance as a function of
leaf Chl. For these simulations of synthetic canopies, the observed LAI values from WT soybeans
measured in the 2013 field season were used to allow us to focus on the impacts of varying Chl,
photosynthetic biochemical capacity, and canopy nitrogen distribution. Chl was varied across a
range representative of the natural variability seen in the experimental population (25-500
umol m<sup>-2</sup>).

353

354 Impact of assumptions of leaf optical properties to seasonal radiative regimes

355 Since PPFD reflected from the top of the canopy cannot be used for photosynthesis, the

relationship between  $L_R$  and  $L_R$  with Chl (Figure 2 and Supplemental 2) translates to an increase

- 357 in PPFD lost due to reflection as Chl decreases. To determine the total impact of increasing
- 358 PPFD reflectance from the top of the canopy with decreasing Chl on canopy absorbance (*Can*<sub>A</sub>),





Figure 6: Season long differences in the midday quantum efficiency of CO<sub>2</sub> assimilation ( $\Delta\Phi_{CO2}$ ) between Y11y11 soybean mutants (Y11y11) and wild-type (WT) across the vertical profile of the canopies (height above ground normalized by canopy height, z/h) for the 2013 growing season. Color map shows the difference between Y11y11 and WT canopies (Y11y11 - WT) at each of the canopy regions above ground normalized by the canopy height (z/h). Positive values (more red) represent regions of the canopy where Y11y11 has a larger value than WT, and more blue values are regions where the WT values are higher. Simulations were performed assuming the genotype-specific chlorophyll content (Chl) and Y11y11 values for leaf area index (LAI) and constant photosynthetic biochemical capacity (V<sub>cmax</sub> and J<sub>max</sub>; a), WT LAI and biochemical capacity scaled with Chl (b), WT LAI and a constant photosynthetic biochemical capacity (c) and Y11y11 values for LAI and biochemical capacity scaled with Chl (d). Environmental forcing (precipitation, downwelling radiation, temperature, H<sub>2</sub>O vapor pressure and wind speed) for the simulations were taken from the 2013 growing-season.

- 359 we simulated two distinct scenarios. In the first scenario (Figure 7 a,c,e), we scaled  $L_R$  with Chl
- according to Equation 2. In the second scenario (Figure 7 b,d,f), we maintained  $L_R$  at a negligible





Figure 7: Total canopy reflectance (Can<sub>R</sub>; a and b), transmittance (Can<sub>T</sub>; c and d) and absorbance (Can<sub>A</sub>; e and f) in simulated canopies composed of a range of leaf chlorophyll contents (Chl) going from dark green ( $500 \mu mol m^{-2}$ ) to light green ( $50 \mu mol m^{-2}$ ) according to DOY within the growing season. Total values for each optical property were determined by summing the diurnal values for PPFD reflected, transmitted or absorbed by the canopy divided by the summed total of diurnal incoming PPFD. Simulations were performed assuming leaf reflectance (Leaf<sub>R</sub>)and transmittance (Leaf<sub>T</sub>) co-varied according to empirical relationships (a, c, e; Equation 1 and 2) derived from observations of diverse soybean lines (see Figure 2) spanning a wide range of Chl as indicated by the decreasing Chl displayed on the y-axis. Alternatively, canopies were simulated with Leaf<sub>R</sub> set to a negligible value at every Chl (b, d and f) to show the impact of leaf reflective loss on total canopy optical properties.

- value. *L*<sub>R</sub> was always assumed to scale with Chl according to equation 1. Canopy optical
- 362 properties were closely related to the leaf optical properties applied throughout the canopy, as

well as total canopy LAI. Canopies with higher leaf Chl showed corresponding decreases in 363 canopy transmittance ( $Can_T$ ) and reflectance ( $Can_R$ , Figure 7a, c and e). Seasonal variations in 364 365 LAI drove additional interactions, with the peak of the growing season resulting in significantly decreased  $Can_{R}$  and  $Can_{T}$ , as most PPFD was absorbed by the vegetation across all Chl levels for 366 the canopy densities examined here. When  $L_{\rm R}$  was modeled as negligible to assess the impact of 367 increased albedo loss to Can<sub>A</sub> in canopies with reduced Chl, there was very little Can<sub>R</sub> compared 368 to canopies with  $L_{\rm R}$  scaled according to Equation 2 (Figure 7a and b). The small amount of  $Can_{\rm R}$ 369 early and late season arose from the soil reflectance when the canopy was least dense. 370 Decreased Can<sub>R</sub> translated to increased Can<sub>A</sub> in canopies with negligible  $L_R$ , especially when Chl 371 372 was low (Figure 7e and d). Since these simulations characterize only the light environment of the canopy, they were not sensitive to different assumptions of photosynthetic capacity 373 374 distribution or sensitivity to Chl.

375

#### 376 Impact of Chl reduction to seasonal canopy photosynthesis

We next examined the impact of Chl variation on  $A_{can}$  and  $\Phi_{CO2}$  with various assumptions of  $L_{R}$ 377 378 Chl response and biochemical sensitivity (Figure 8). Reductions in Chl decreased A<sub>can</sub> under all simulated conditions except for when L<sub>R</sub> was kept at a negligible value and biochemistry was 379 not scaled with Chl, where  $A_{can}$  increased by a maximal ~2% when Chl was 75  $\mu$ mol m<sup>-2</sup> (Figure 380 8a, Supplemental 10). When photosynthetic capacity was held constant but L<sub>R</sub> scaled with Chl, 381 noticeable reductions occurred in  $A_{can}$  below 275  $\mu$ mol m<sup>-2</sup> (Figure 8a). When leaf 382 383 photosynthetic biochemical capacity was varied with Chl according to our empirical 384 relationships, canopy performance was highly sensitive to Chl with a steep decrease in  $A_{can}$ 385 along the simulated Chl gradient (Figure 8a).  $\Phi_{CO2}$  increased when photosynthetic biochemical capacity was held constant (Figure 8b, Supplemental 10). In contrast,  $\Phi_{CO2}$  generally decreased 386 when leaf photosynthetic biochemical capacity decreased with Chl. 387

388

389 Combined impact of leaf optics and nitrogen distribution on canopy photosynthesis for a

390 representative day



391



Figure 8 Impact of chlorophyll content (Chl) reduction on the seasonal simulated soybean photosynthesis ( $A_{can}$ ; a) and the quantum efficiency of CO<sub>2</sub> fixation ( $\Phi_{CO2}$ ; b). Seasonal values are shown for the 2013 growing season (see Supplemental Figure 5). Simulations were performed assuming leaf photosynthetic biochemical capacity remained constant despite chlorophyll content (Chl; circles) or that it scaled with Chl according to Equations 3 and 4 (squares). Leaf reflectance and transmittance were also assumed to both vary with Chl according to Equations 1 and 2 (filled symbols) or leaf reflectance remained constant despite changes in Chl (open symbols) to determine the impact of changes in reflective loss on canopy performance.

In MLCan, the vertical distribution of photosynthetic capacity is scaled with nitrogen

392 assuming an exponential decay function containing  $k_n$  in the exponent (See Materials and Methods, De Pury and Farguhar, 1997). To determine if the greater  $\Phi_{CO2}$  and PPFD penetration 393 in the low Chl canopies could result in improved A<sub>can</sub> by distributing biochemical capacity 394 395 deeper into these regions, we next ran one-day simulations where Chl and nitrogen distribution 396 were varied combinatorially. To modify nitrogen distribution, we used different values of the exponential decay term  $k_n$  (set as 0.5 as a default and in previous simulations) to determine the 397 impact on canopy PPFD absorbance and performance among three different Chl contents 398 (Supplemental 12). In these simulations the nitrogen distributions of different  $k_n$  assumptions 399 were scaled so that total canopy nitrogen was not varied with  $k_n$  (see Materials and Methods). 400 Higher  $k_{\rm p}$  resulted in nitrogen more quickly decreasing with depth in the canopy (Supplemental 401 402 12). Additionally, decreased leaf Chl increased the proportion of PPFD absorbed in the lower canopy in the three Chl values shown (400, 200 and 50  $\mu$ mol m<sup>-2</sup>). There was good agreement 403 between the nitrogen distribution and PPFD absorbance profiles at  $k_n$  values between 0.3 and 404 0.5 when ChI = 400 and 200  $\mu$ mol m<sup>-2</sup>, while at the lowest ChI of 50  $\mu$ mol m<sup>-2</sup> the best 405 agreement was observed at  $k_n$  values slightly less than 0.3. This suggests that the optimal 406 nitrogen distribution, represented by  $k_n$ , should be somewhat sensitive to Chl. 407

To determine the combinatorial effect of nitrogen distribution and Chl to  $A_{can}$ , we 408 performed daily simulations of  $A_{can}$  parameterized with different assumptions of  $A_{can}$  and  $k_n$ 409 under average field data weather forcing and LAI = 7.5 m<sup>2</sup> m<sup>-2</sup> (Figure 9). These simulations 410 confirmed that the  $k_n$  value that produces the highest  $A_{can}$  changes with Chl, as can be seen by 411 412 the shifting regions of highest  $A_{can}$  as Chl decreased under both assumptions of  $L_R$  (Figure 9). When  $L_{\rm R}$  was scaled with Chl, the optimal  $k_{\rm n}$  shifted from ~0.45 at 500  $\mu$ mol m<sup>-2</sup>to ~0.35 at the 413 414 lowest Chl values (Figure 9a), in agreement with the values of  $k_n$  that produced nitrogen profiles 415 in the closest agreement with PPFD<sub>A</sub> profiles (Supplemental 12). In all cases when L<sub>R</sub> was scaled with Chl,  $A_{can}$  decreased with Chl, regardless of  $k_n$  (Figure 9a). Consistent with the seasonal 416 417 simulations (Figure 8),  $A_{can}$  increased with reduced Chl when  $L_R$  was set to a negligible value (Figure 9b). Interestingly, the increase in  $A_{can}$  was dependent on  $k_n$ , further demonstrating that 418  $L_{\rm R}$  and nitrogen distribution conspire to impair the ability of Chl reductions to increase  $A_{\rm can}$ . 419

420

#### Figure 9



Figure 9: The response of total daily canopy carbon assimilation ( $A_{can}$ ) to different assumptions of chlorophyll content (Chl) and canopy nitrogen distribution profiles. Canopy nitrogen profiles were adjusted through varying  $k_n$ , a term describing the exponential decay of nitrogen through a canopy profile (See Supplemental 7 and Materials and Methods for more detail). Environmental forcing (radiation, temperature, relative humidity...etc) was taken as the average daily conditions for the 2013 growing season. Shown are the relationships between Chl, nitrogen distribution and canopy A assuming leaf reflectance (Leaf<sub>R</sub>)and transmittance (Leaf<sub>T</sub>) co-varied according to empirical relationships (a) and assuming Leaf<sub>R</sub> was negligible (b).

# 421 Discussion

- 422 Chl reduction alone does not directly improve canopy photosynthesis
- 423 Our findings predict that reductions in Chl are not expected to increase A<sub>can</sub> by increasing light
- 424 penetration into soybean canopies when the canopy is examined as a whole under realistic
- 425 modeling assumptions (Figure 8, Supplemental 10). However, a light-green canopy can have

regions of greater PPFD<sub>A</sub> and A, resulting in increased  $\Phi_{CO2}$  through much of the vertical canopy 426 domain of light-green mutants (Figure 4-6, Supplemental 8). For the simulations conducted 427 here, the gains in  $\Phi_{co2}$  in the lower light-green canopy were not enough to offset the higher A 428 of upper-canopy foliage in dark green canopies. The increase in A found in the lower regions of 429 the light-green canopy during the part of the growing season with peak LAI and greatest 430 431 incident PPFD (Figures 4 and 5) indicates that improvements to total light-green canopy performance could be realized if biochemical capacity were redistributed to better take 432 advantage of redistributed PPFD (discussed further below). Our findings also indicate that Chl 433 can be drastically reduced with little impact to A<sub>can</sub>, suggesting an over-investment in Chl and 434 an under-utilization of photosynthetic biochemical capacity in much of the canopy space of 435 436 modern soybean cultivars.

437

#### 438 Nitrogen distribution and the future of light-green canopies

439 The inability of light-green canopies to achieve increased  $A_{can}$ , despite increases in PPFD<sub>A</sub> and A 440 in the lower canopy, partially results from the way photosynthetic biochemical capacity is distributed spatially through the canopy (Figure 9 and Supplemental 12). MLCan is coded to 441 decrease photosynthetic biochemical capacity exponentially with canopy depth, according to 442 443 the decay of nitrogen content found in a variety of crops and herbaceous plants including >16 cultivars of wheat, Vicia faba, Oryza sativa, Glycine max, Sorghum bicolor, Amaranthus 444 445 cruentus, Helianthus annuus, Hibiscus cannabinus, Cynara cardunculus, and Carex acutiformis 446 (Schieving et al., 1992; Anten et al., 1995; Anten, 1997; De Pury and Farguhar, 1997; Del Pozo 447 and Dennett, 1999; Dreccer et al., 2000; Yin et al., 2003; Archontoulis et al., 2011; Moreau et 448 al., 2012). Because of the lack of re-partitioning the nitrogen profile in the simulations, the 449 relative increase in availability of PPFD over the majority of the vertical canopy space in the low 450 Chl canopy (Figures 4 and 5) did not result in higher  $A_{can}$  partially since photosynthetic biochemical capacity was not re-partitioned spatially to regions where the light green canopy 451 452 was most efficient, such as in the deepest canopy regions (Figure 9). For example, on DOY 230 453 the lower 20% of the canopy absorbed more PPFD (Figure 4b) but had a lower A (Figure 4d) 454 resulting in a lower  $\Phi_{CO2}$  (Figure 4f).

The optimal re-adjustment in nitrogen distribution would need to vary temporally (or 455 456 with LAI) as illustrated from the differences in the example DOYs of Figure 4 to take best 457 advantage of the re-distributed PPFD in light green canopies. Similarly, the simulations also revealed  $A_{can}$  did not increase with Chl reduction despite many combinations of Chl and  $k_n$ 458 (Figure 9a). It has also been proposed that a nitrogen re-allocation within photosynthetic 459 capacity has the potential to improve A within a single leaf in future climates based on 460 modeling of optimal partitioning of V<sub>cmax</sub> and J<sub>max</sub> under future climate scenarios (Kromdijk and 461 Long, 2016). The use of  $k_n$  to represent nitrogen distribution has not been conclusively linked to 462 biological constraints, suggesting that other distributions are possible. Future work could 463 464 explore direct optimizations of nitrogen distribution in the canopy with validation work in fieldgrown canopies to determine if more complex nitrogen distribution strategies could take better 465 advantage of re-distributed PPFD under current and future climates. 466

While these simulations and field data do not support that Chl reductions would result 467 in higher A through more optimal light distribution when implemented alone or even with re-468 distributions of nitrogen across the canopy profile, they do indicate that a canopy can 469 470 accommodate drastic reductions of Chl (as low as 16% of WT), with only minimal impacts on 471 A<sub>can</sub>. If a plant could have similar A with less investment in nitrogen associated with Chl (both directly in Chl and in Chl-associated protein complexes), this would free-up nitrogen to be re-472 mobilized from antennae complexes to more limiting processes within the leaf. Indeed, leaf 473 nitrogen seems to be conserved despite the reduced Chl of Y11y11 (Supplemental 7) meaning 474 that nitrogen assimilation and uptake in soybean is not sensitive to the demand based on 475 chlorophyll biosynthesis. While it is not clear where nitrogen is diverted to in reduced Chl 476 mutants, this nitrogen could have substantial benefits if re-invested into photosynthesis, 477 478 especially since many of the enzymes involved in carbon metabolism appear to have limiting 479 sub-optimal activities (Zhu et al., 2007). For example, a reduction of Chl from 500 to 100 μmol  $m^{-2}$  would result in a savings of 400  $\mu$ mol  $m^{-2}$ , which translates to a nitrogen savings of 0.17 g 480 nitrogen m<sup>-2</sup>, assuming all the reduced Chl was bound to the light harvesting complex for 481 photosystem II, the lowest nitrogen containing chlorophyll binding protein (0.42 g nitrogen 482 mmol Chl<sup>-1</sup>). This is would represent an 8-12% savings in total leaf nitrogen assuming the WT 483

leaf nitrogen contents we measured during the field season (Supplemental 7). Such nitrogen
savings could have a sizable positive impact on yield, since even nitrogen-fixing *G. max* is often
nitrogen limited (Salvagiotti et al., 2008). It is interesting that in *Y11y11*, the nitrogen saved
from reduced Chl investment is still maintained by the leaf as evidenced by the identical
nitrogen and protein contents of both genotypes for all but one sampling day (Supplemental 7),
raising the question of where nitrogen is mobilized in reduced Chl plants if not to
photosynthesis.

The positive impact of redirecting nitrogen from Chl to limiting enzymes in 491 photosynthesis was recently demonstrated in a simulated rice canopy using a ray-tracing 492 algorithm coupled to a complete biochemical representation of C<sub>3</sub> photosynthesis (Song et al., 493 Accepted). In this model, there was only a modest benefit of reducing Chl content alone (~3% 494 increase in  $A_{can}$ , but when the saved nitrogen was re-invested in limiting enzymatic steps of  $C_3$ 495 photosynthesis, both  $A_{can}$  and nitrogen use efficiency could be increased by 30%. The next 496 logical step for canopy optimization through canopy Chl reduction is therefore to understand 497 where nitrogen is partitioned in plants with reduced Chl and how this repartitioning can be 498 more optimally engineered. In addition to the coupled ray-tracing and C3 metabolic model of 499 500 Song et al., there are additional frameworks for repartitioning nitrogen based on five competing photosynthetic sinks using a co-limiting model parameterized by empirically-derived 501 relationships (Hikosaka and Terashima, 1995). This particular model, however, is not 502 compatible with MLCan since MLCan uses the mechanistic biochemical relationships of 503 504 photosynthesis to simulate canopy response to radiation and atmospheric conditions. The 505 relationship between Chl and  $V_{\rm cmax}$  and  $J_{\rm max}$  indicate that nitrogen re-allocation from antenna complexes to photosynthetic biochemical capacity does not happen generally as a result of 506 507 mutations that reduce Chl (discussed further below), but it is possible that more targeted 508 engineering strategies could help achieve this goal.

509

510 *Trade-offs between leaf reflectance and transmittance limit the benefits of light green canopies* 511 The inability of Chl reductions to increase canopy light penetration enough to increase A also 512 partially results from the observed relationship between  $L_R$  and  $L_R$  (Error! Reference source not 513 found. and Supplemental 2). Both  $L_{\rm B}$  and  $L_{\rm B}$  increase with decreasing Chl since both originate from 514 the scattering of light within the complex inner anatomy of the leaf due to the differences in 515 the refractive indices between air (1.00) and cell material ( $\sim$ 1.48, Woolley, 1971). Thus  $L_{R}$  and  $L_{R}$ only differ in the direction of the light scattering and result from the many air-to-cell interfaces 516 within a leaf (Woolley, 1971; Terashima and Saeki, 1983), a relationship confirmed in our 517 observations (Figure 2 and Supplemental 3). The largest amount of reflected PPFD occurs from 518 the upper canopy layer, where incident radiation is greatest. Reflected PPFD from the upper 519 canopy is lost from the canopy and not available to drive A, resulting in decreased  $Can_A$  (Figure 520 521 7e). The decrease in Can<sub>A</sub> is not fully compensated for by increases in  $\Phi_{CO2}$  when  $L_{R}$  and  $L_{R}$  are set equal to the relationships in equation 1 and 2 (Figure 8b), resulting in decreased A in lower 522 523 Chl canopies (Figure 8a). Only when  $L_{\rm R}$  is reduced to a negligible value do simulated reduced Chl 524 canopies have greater  $A_{can}$  (Figures 8a and 9b), demonstrating that the increased reflective loss 525 of PPFD from the leaf surface accompanying Chl reduction partially explains their reduced  $A_{can}$ .

The ability of light-green canopies to increase A when  $L_{\rm R}$  is reduced to a negligible value 526 527 may help explain why Chl reductions in algal cultures have resulted in increased photosynthesis 528 and growth culture (Melis, 1999; Polle et al., 2003; Mitra and Melis, 2008; Kirst et al., 2012). In Chlorella cultures, light absorption is well represented by the Beer-Lambert law at a variety of 529 530 cellular densities, without the necessity for consideration of reflectance (Lee, 1999). The 531 difference in reflective properties between a leaf and an algal culture could be the result of both the decreased differences in refractive indices between the algal cell (1.047-1.092, Spinrad 532 533 and Brown, 1986) and the aqueous culture medium (1.33) compared to leaves and the less cell-534 dense culture conditions, which minimize cell-to-medium light scattering. For example, in the experiments of Kirst et al. (2012), reported improved growth of Chlamydomonas reinhardtii 535 with reduced antenna complexes, cells were cultured at densities 1-3 x10<sup>6</sup> cells mL<sup>-1</sup>. Leaf cell density 536 is not reported directly, but can be approximated based on available data. Based on anatomical 537 data, a single soybean leaf has  $\sim 2.5 \times 10^6$  cells cm<sup>-2</sup> in the palisade layers alone (Dornhoff and 538 Shibles, 1976). Spongy mesophyll cells are smaller than palisade cells but are not packed as 539 tightly. Assuming their cellular density per leaf surface area is similar to palisade cells, this 540 means that a single leaf layer has a similar order of magnitude of light-scattering cells as a cm<sup>3</sup> 541

of algal culture. Additionally, leaves are arranged in a variety of angles relative to each other, which would further serve to increase canopy light scattering. Due to these fundamental differences between the culture properties of algae and plants, it is impossible to completely separate  $L_R$  from  $L_T$ , but even reducing the relationship between the two would increase  $A_{can}$  in reduced-Chl canopies relative to canopies with  $L_R$  according to Equation 2.

Theoretically,  $L_{T}$  and  $L_{R}$  could be separated from each other if internal leaf architecture were simplified to limit the amount of cell-to-air interfaces. This could be accomplished by decreasing the cell number or complexity of the intercellular airspace, such as is seen in the *reticulata* mutants in Arabidopsis (González-Bayán et al., 2006). However this may negatively impact the efficiency of internal CO<sub>2</sub> diffusion and subsequent leaf photosynthesis (Syvertsen et al., 1995; Evans and von Caemmerer, 1996; Flexas et al., 2007).

553

554 The relationship between Chl and photosynthetic capacity gives insight to past work with light 555 green canopies

556 We identified an important correlation between Chl and photosynthetic biochemical capacity 557  $(J_{\text{max}} \text{ and } V_{\text{cmax}})$  found in naturally- and chemically-derived soybean accessions that drastically 558 alters the impact of Chl reduction on canopy performance (Figure 3 and 8, Supplemental 8, 9 and 11). The correlation between Chl and  $V_{cmax}$  had a higher y-intercept and lower slope than a 559 relationship based on predicted nitrogen content and secondary relationships, suggesting that 560 the Houborg et al. 2013 model may not apply well at least to soybean. The driver linking Chl to 561  $J_{\text{max}}$  and  $V_{\text{cmax}}$  is currently not known, but there were cultivars that had reduced Chl without a 562 corresponding decrease in photosynthetic biochemical capacity in the 45 lines we surveyed 563 with gas exchange (Figure 3). A similar inconsistent relationship between Chl and biochemical 564 565 capacity was seen across soybean cultivars with years of release dates between 1923 and 2007 566 (Koester et al., 2016). The presence of accessions with high biochemical capacity and low Chl 567 indicates there is not an underlying genetic linkage or immutable mechanism that links the two, and that the correlation can be avoided. The relationship between Chl and photosynthetic 568 biochemical capacity found in naturally- and chemically-derived soybean accessions would 569

hamper efforts to breed for reduced chlorophyll production lines through traditional methodsand highlights the need for targeted genetic markers or genetic engineering.

572 While our findings show that, in general, Chl is correlated with biochemical performance measured as  $V_{cmax}$  and  $J_{max}$  across a broad panel of soybean mutant accessions, the case may be 573 574 different in other species and dependent on the genetic and biochemical source of the mutation. A rice mutant with greatly reduced Chl was reported to have large *increases* in both 575  $V_{\rm cmax}$  and  $J_{\rm max}$  (Gu et al., 2017a; Gu et al., 2017b). Canopies of this mutant had significant 576 increases in A<sub>can</sub>. The differences between our results in soybean and this single mutant in rice 577 could indicate species-specific differences in how nitrogen is re-partitioned when chlorophyll 578 579 production is impaired, or simply that the mutant they used for their study represents an exception to the general trend. Our simulations predict that the increased A<sub>can</sub> of this light 580 green rice cultivar was likely due more to the increases in  $V_{\rm cmax}$  and  $J_{\rm max}$  and not from PPFD 581 582 redistribution. Indeed, the rice mutant has higher  $A_{\text{leaf}}$  at saturating irradiances, indicating that the leaf capacity for photosynthesis was greater than WT. 583

Furthermore, a *Nicotiana tabacum* mutant with substantially reduced Chl also reported 584 an increase in biomass yield when grown in dense stands (Kirst et al., 2017). The discrepancy 585 586 between this modeling work in soybean and this recent report in *N. tabacum* could be 587 attributed to a variety of reasons. Our simulations were parameterized specifically for fieldgrown soybean, and differences in leaf thickness, arrangement and weather conditions (the N. 588 tabacum was grown in a greenhouse) could impact the results. Additionally, the N. tabacum 589 mutants were harvested several days after the wild type to make up for developmental 590 differences, which could confound the interpretation of the measured increases in biomass. 591 Taken at face value, our simulations indicate that the increase in growth found in *N. tabacum* 592 593 likely resulted from factors other than just canopy PPFD redistribution, such as developmental 594 differences or increases in  $V_{cmax}$  and/or  $J_{max}$  as was found in the rice cultivar.

595

#### 596 Pleiotropic effects of Chl reduction

597 Since past work with *Y11y11* indicates that chlorophyll reduction can be accompanied with an

increase in stomatal conductance (Campbell et al., 2015; Slattery et al., 2017), what other

pleiotropic effects might be expected from reduced chlorophyll mutants? Chlorophyll 599 600 precursors are derived from the isoprenoid pathway, which also is involved in the biosynthesis 601 of a myriad of plant secondary metabolites including carotenoids, giberellins and tocopherols (Lange and Ghassemian, 2003). Since chlorophyll reduction could be caused by any 602 perturbation downstream of final chlorophyll biosynthesis, it is possible that molecules that 603 604 share chlorophyll's biosynthetic pathway could be impacted in reduced chlorophyll plants. For example, there was a strong correlation between Chl and total carotenoid content 605 (Supplemental 2a) that resulted in more carotenoids per chlorophyll at low Chl (Supplemental 2 606 607 similar to the relationship found in Coffea canephora Pierre leaves (Netto et al., 2005). 608 Carotenoids play a role in regulating non-photochemical quenching and harvesting light energy in spectral regions that chlorophyll does not absorb strongly (Ort, 2001; Telfer et al., 2008), 609 indicating that these processes might be impacted in low Chl mutants. Interestingly, lower 610 carotenoid content also correlates with ABA levels in plants with transgenically-impaired 611 carotenoid synthesis (Lindgren et al., 2003). While ABA triggers stomatal closure (Farguhar and 612 Sharkey, 1982), the relationship between carotenoid content and stomatal closure was not 613 apparent in the 45 accessions that were examined via gas exchange (Supplemental 5a). Chl 614 615 reduction may also reduce the quantity of reactive oxygen species generated from over-excited reaction centers (Vass and Cser, 2009) by reducing total light energy absorption, perhaps 616 617 resulting in surplus antioxidant capacity and improved stress response (Fover and Shigeoka, 2011). 618

# 619 Conclusions

Our findings indicate that while reductions in Chl alone are not expected to increase *A* in soybean, they can result in increased  $\Phi_{CO2}$  and carbon fixation in individual domains of the canopy. The inability of Chl reduction to increase canopy photosynthesis results primarily from the fundamental linkage between  $L_R$  and  $L_T$ , with the vertical distribution of nitrogen being a secondary factor. Nevertheless, our simulations show that canopies can assimilate similar amounts of carbon dioxide with significant reductions in Chl. Future efforts should focus on re626 partitioning nitrogen from excess chlorophyll into more beneficial investments, such as  $V_{cmax}$ 627 and  $J_{max}$ .

# 628 Materials and Methods

# 629 Field measurements for MLCan parameterization

Soybean germplasm (67 lines) with previously described "light green" phenotypes were planted 630 631 in 1.5 meter rows using standard agronomic practice (Specific lines listed in Supplemental File 1). Seed was obtained from the United States Department of Agriculture Soybean germplasm 632 collection (Urbana, IL) and from the Fast Neutron Soybean Mutagenesis Project 633 (http://parrottlab.uga.edu/parrottlab/Mutagenesis/index.php) and when available, included 634 635 the parental lines of the light green cultivar. Due to seed limitations, a single row of each 636 variety was planted in a single randomized block, with edges bordered by WT (Glycine max Merr., cultivar "Clark") rows. Leaves for analysis were harvested at two points in the growing 637 season by pre-dawn cutting from the plant followed by re-cutting the petioles under water. 638 Plants were then transferred in a darkened container to the lab for Chl, total carotenoid and 639 optical property determination. L<sub>R</sub>, L<sub>T</sub>, L<sub>A</sub> and SPAD (Chlorophyll Meter SPAD-502 Plus. Konica 640 Minolta) were determined on 3-5 replicates of each line and Chl was determined on the same 641 area using ethanol extraction (Ritchie, 2006). The relationship used to convert SPAD readings to 642 643 Chl was calculated using an exponential function (Supplemental 13). Leaf optical properties were determined using an integrating sphere and spectroradiometer using a tungsten-halide 644 645 source (Jaz Spectroclip, Ocean Optics, Dunedin, FL, USA) with a source in the visible range although it should be noted that the source has reduced output between ~400-500 nm, 646 meaning that the measurements of blue light are less reliable than that for the other colors. 647 The integrating sphere/spectroradiometer was first calibrated with a "Spactralon" 99% 648 reflectance standard (Labsphere Inc, North Sutton, NH, USA) and the black standard included 649 650 with the instrument. Dark-adapted and steady-state fluorescence were measured using a 651 fluorescence camera on leaf disks placed on agar plates. 652 The relationships between leaf optics and ChI that were used to define leaf optical

653 properties in MLCan as a function of Chl were:

654

$$Leaf_T = 0.228e^{-0.00288(Chl)}$$
 Equation 1

655

- 656 ( $R^2 = 0.50$ ) and
- 657  $Leaf_R = 0.208e^{-0.00217(Chl)}$  Equation 2
- 658

 $(R^2 = 0.52)$ . The  $R^2$  values of the relationships between Chl and leaf optics (Equations 1 659 and 2) indicate that there are factors impacting leaf optics other than Chl. This is to be expected 660 given the diverse genetic nature of the soybean accessions measured and the complex 661 interaction of leaf anatomy with incident PPFD (Osborne and Raven, 1986) as well as 662 measurement noise. Indeed, past work measuring the relationship of Chl with  $L_{A}$  observe a 663 664 similar degree of variance (Osborne and Raven, 1986; Evans and Poorter, 2001). For our simulations we assumed that these other factors would be constant in a leaf where only the Chl 665 666 was reduced and used the above equations to simulate the impact of Chl on leaf optics.

667 The response of  $A_{\text{leaf}}$  to carbon dioxide concentration  $(A-C_i)$  was measured in the light green and parental cultivars to determine the relationship between Chl and photosynthetic 668 biochemical capacity ( $V_{cmax}$  and  $J_{max}$ ). A subset of 45 cultivars were selected with a wide 669 variation in Chl for measurements and harvested by pre-dawn petiolar cutting and kept under 670 partial (between 20-200 PPFD) illumination before measurements. A full  $A-C_i$  curve was 671 measured for each cultivar using a LI-COR 6400XT (LI-COR Biosciences, Lincoln, NE, USA) and 672 SPAD measured as a proxy for Chl. SPAD estimates of Chl based on the above calibration 673 (Supplemental 13) were used since leaf samples were not harvested for direct determination. 674 Measurements were made using a 2 cm<sup>2</sup> measuring area, a flow rate of 300  $\mu$ mol s<sup>-1</sup>, and CO<sub>2</sub> 675 reference concentration sequence of 400, 300, 200, 100, 50, 400, 600, 800, 1000, 1300 and 400 676 PPM CO<sub>2</sub>. The instrument's block temperature was maintained at 3° below ambient 677 temperature resulting in leaf temperatures between 25-30° depending on the time of day the 678 measurement was made. Measurements were randomized and limited to the hours between 679 9:00 and 14:00 to avoid end of day photosynthetic depression.  $V_{cmax}$  and  $J_{max}$  were determined 680 681 by fitting A-C<sub>i</sub> curves and normalized to 25°C using the temperature response of each 682 determined previously for N. tabacum (Bernacchi et al., 2001; Bernacchi et al., 2002; Bernacchi

et al., 2003; Sharkey et al., 2007). The relationship between V<sub>cmax</sub> and Chl produced from this 683 684 dataset was

 $V_{cmax} = 0.17(Chl) + 67.2$ Equation 3 686

with an  $R^2$  of 0.27, and the relationship between  $J_{max}$  and Chl was 687

 $J_{max} = 0.33(Chl) + 113.4$ Equation 4

689

688

with an  $R^2$  of 0.54. 690

Leaf nitrogen content was measured in field-harvested samples of WT and Y11y11 from 691 692 the 2013 season on 5 different growing days. Samples were oven dried for three days and ground using a ball mill (Geno Grinder 2010, BT&C Lebanon, New Jersey, USA) and analyzed 693 using an elemental analyzer (Costech 4010CHNSO Analyzer, Costech Analytical Technologies 694 Inc. Valencia, California, USA). Acetanalide (National Institute of Science and Technology. 695 Gaithersburg, Maryland, USA) were used as standards. 696

Leaf soluble protein content was determined by Bradford method (Bradford, 1976). 697 Samples (1-cm diameter leaf disk) were collected in three plots during the 2013 season over 698 699 four growing days. Tissues were rapidly frozen in liquid nitrogen, stored at -80°C and ground by using glass homogenizer while frozen. After extraction buffer was added, extracts were 700 centrifuged at 18,000 x g at 4°C for 5 min. Supernatants were collected and mixed with Bio-Rad 701 protein assay dye (Bio-Rad, Hercules, California, USA). Absorbance of samples along with bovine 702 serum albumin as standard was measured at 595 nm by microplate reader (BioTek, Winooski, 703 Vermont, USA). 704

705

#### 706 Implementing the impact of Chl into MLCan

707 MLCan treats PAR as a single broadband radiation stream by dividing total downwelling 708 radiation between long and shortwave, then further partitioning shortwave radiation between PAR and near infra-red (Campbell and Norman, 1998; Drewry et al., 2010a). We measured leaf 709 optical properties ( $L_R$ ,  $L_T$  and  $L_A$ ) at ~0.34 nm resolution across the PAR portion of the spectrum 710 (400-700 nm), requiring us to aggregate leaf spectral properties to broadband PPFD values. To 711

712 do this,  $L_R$ ,  $L_T$  and  $L_A$  were averaged into 25 nm increments between 400-700 nm. 25 nm was selected as an interval since it gave reasonable resolution across both the chlorophyll 713 714 absorption spectra and the solar spectra for weighted averaging.  $L_{\rm R}$ ,  $L_{\rm T}$  and  $L_{\rm A}$  for each 25 nm value were then multiplied by the percentage of solar radiation incident relative to the total 715 PAR solar radiation using a reference solar spectrum, to produce weighted values ( $L_R$ ,  $L_T$  and  $L_A$ , 716 ASTM, 2012). The weighted  $L_R$ ,  $L_T$  and  $L_A$  values from each 25 nm increment were then summed 717 718 to produce a weighted average across the PAR spectrum, which was then fit to produce empirical allometric relationships between optical properties and Chl (Equations 1 and 2). 719

Equations 1 and 2 were used to vary leaf-level optics based on the value of Chl used in MLCan. To accomplish this, MLCan was modified so that  $L_R$ ,  $L_T$  and  $L_A$  were varied for each 30 min time step, instead of being assigned as constant default values. In the first series of simulations representing WT and *Y11y11* canopies, time step-specific Chl where interpolated from values measured for each genotype throughout the growing season (Slattery et al., 2017).  $L_R$  and  $L_T$  were calculated for each time step using equations 1 and 2, and  $L_A$  determined according to  $1 - L_R - L_T$ .

Since the relationships of  $L_R$  and  $L_T$  were determined as a function of Chl, MLCan was modified to incorporate the individual relationships of both. MLCan, like many canopy models, assumes that  $L_R$  is equal to  $L_T$ , which simplifies the derivation of the extinction and scattering of radiation throughout the canopy. Leaf-level optics were scaled to the canopy-layer level by using a PPFD extinction (K<sub>h</sub>) and reflectance ( $\rho_h$ ) coefficient that considered  $L_R$  was not equal to  $L_T$ , allowing us to individually vary both parameters. Specifically, the original MLCan formulation of

734

# $K_h = (1 - \sigma)^{0.5}$ Equation 5,

735 where  $\sigma$  represents a scattering coefficient equal to  $L_R + L_T$  and assuming  $L_R = L_T$ , was replaced 736 with

- 737  $K_h = \left( [1 \text{Leaf}_T]^2 \text{Leaf}_R^2 \right)^{0.5} \text{ Equation 6}$
- 738 and

739 
$$\rho_h = \frac{1 - (1 - \sigma)^{0.5}}{1 + (1 - \sigma)^{0.5}}$$
 Equation 7

740 was replaced with

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 $\rho_h = \frac{(1 - \text{Leaf}_{\text{T}} - K_h)^{0.5}}{\text{Leaf}_{\text{P}}}$ Equation 8.

742 Details on the derivation of the equations and their use in MLCan can be found in (Goudriaan, 1977, Equations 2.20-23) and Drewry et al. (2010a, Supplemental Equation 23). For each time-743 step, radiation was distributed through the canopy iteratively until all incoming radiation was 744 either absorbed by a canopy layer or the soil, or reflected into the atmosphere to account for 745 746 the inter-canopy scattering and absorbance of incoming light. Soil reflectance was assumed to be 0.2. 747

In simulations including a scaling of  $V_{cmax}$  and  $J_{max}$  with Chl, leaf biochemistry was 748 modified as a function of Chl for each time step using the empirical measurements according to 749 equations 3 and 4. This did not impact the simulations where Chl was constant throughout the 750 growing season, but did have an impact when the WT and Y11y11 canopies were simulated 751 using field-measured seasonal Chl values. In all simulations Chl was considered constant 752 753 through the canopy with no developmental-dependent effects. The vertical distribution of Chl 754 was not accounted meaning that all leaves had the same assumed Chl for a given time step. For simulations determining the impact of uncoupling  $L_{\rm R}$  from  $L_{\rm T}$ ,  $L_{\rm R}$  in equation 2 was maintained at 755 a constant value for Chl of 450  $\mu$  mol m<sup>-2</sup>, while  $L_T$  varied according to equation 1 and  $L_A$ 756 determined as  $1 - L_{\rm R} - L_{\rm T}$ . All meteorological, physiological and ecological data are available by 757 contacting author BJW. For access to MLCan code, contact DTD. 758

759

760 Simulations comparing a WT and Y11y11 canopy

761 Field measurements from the 2013 growing season were used to parameterize the canopy 762 simulations of WT and Y11v11 sovbean canopies. Seasonal leaf area density. LAI, and Chl were taken from field measurements as reported previously (Slattery et al., 2017). Photosynthetic 763 biochemical parameters ( $V_{cmax}$  and  $J_{max}$ ) were assumed as outlined in the various modeled 764 scenarios. Precipitation data were taken from the nearby Willard Airport weather station and 765 radiation, windspeed, and temperature from other nearby sources as reported previously 766 767 (Bagley et al., 2015).

768 It was a particularly wet spring with little additional precipitation during the growing 769 season and the modeled soil moisture drove an end-of-season modeled stomatal closure.

Modeled soil moisture did not agree well with field measurements, so the soil-model was
constrained to never drop below measured values in the *Y11y11* or the full Chl simulations. Soil
moisture was measured on a biweekly basis in a nearby plot of soybean (*cv.* Pana, PI 597387)
using a capacitance probe (Diviner-2000, Sentek Sensor Technologies) inserted into access
tubes. Measurements were made in three access tubes at 10 cm increments between depths of
5 and 105 cm and averaged together to constrain the model. The raw data were converted to
gravimetric data using a calibration determined in prepared soils (Paltineanu and Starr, 1997).

Simulating the impact of a range of Chl and nitrogen distribution on canopy performance To determine canopy performance under a wider range of Chl the 2013 season was simulated using Chl ranging from 25 to 500  $\mu$ mol m<sup>-2</sup>. This simulation was done both assuming and not assuming that  $V_{cmax}$  and  $J_{max}$  scale according to Chl and assuming that  $L_R$  was maintained at a value calculated when Chl = 450  $\mu$ mol m<sup>-2</sup> (Equation 2).

The impact of changes to the distribution profile of nitrogen to canopy performance was investigated in MLCan by altering the coefficient for the exponential function that represents the distribution of nitrogen through the canopy. Specifically, MLCan represents the canopy nitrogen profile, which scales  $V_{cmax}$  and  $J_{max}$  through the canopy as a function of nitrogen, according to the relationship

788  $V_{cmax}(\xi) = V_{cmax}^{top} \exp[-k_n * \xi]$  Equation 9

where  $\xi$ ,  $V_{cmax}$  and  $V_{cmax}^{top}$  is equal to the cumulative LAI,  $V_{cmax}$  at the layer being modeled and the  $V_{cmax}$  at the top of the canopy respectively (De Pury and Farquhar, 1997). In order not to include the effects of having more or less total nitrogen in a canopy as a result of changing  $k_n$ , MLCan was amended to use the relationship above with the default value of  $k_n = 0.5$  to first produce a baseline canopy nitrogen content. This baseline total nitrogen content was then scaled according to the relationship above to produce canopies with the same total amount of nitrogen but different profiles.

# 796 Acknowledgments

Jessica Ayers, Beau Barber, Kaitlin Togliatti and Elliot Brazil for assistance sampling and
measuring the population of reduced Chl cultivars. Christopher Montes for providing the soil
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Anastasiia Bovdilova for providing Russian translation of early references to light green in the
literature.

803

# 804 Figure Legends

Figure 1: Examples of some of the 67 soybean lines with decreased chlorophyll content (a). Leaf
punches prepared for chlorophyll fluorescence imaging (b). Most of the lines were obtained
from the USDA soybean germplasm collection and others from the Fast Neutron Soybean
Mutagenesis project. Lines were characterized for chlorophyll content, leaf transmittance and
leaf reflectance.

810

Figure 2: Shown are the relationships between leaf chlorophyll content and reflectance (L<sub>R</sub>, a),
 transmittance (L<sub>T</sub>, b) and absorbance (L<sub>A</sub>, c) across the spectrum of photosynthetically active
 radiation.

814

Figure 3: Observed impact of the variation in chlorophyll content (Chl) on photosynthetic
performance. 45 plants from various cultivars of soybean were measured using a LI-COR 6400XT gas exchange system to derive maximum rate of carboxylation (V<sub>cmax</sub>) and electron transport
(J<sub>max</sub>) from photosynthetic carbon dioxide response curves. Chl was determined from SPAD
measurements according to the relationship presented in Supplemental 12. Also shown is the
modeled relationship of Chl to V<sub>cmax</sub> of Houborg 2013 (dotted line). Circled data represents the
values measured in the low chlorophyll mutant *Y11y11*.

Figure 4: Vertically-resolved, diurnal differences in absorbed PPFD ( $\Delta$ PPFD<sub>A</sub>; a and b), net 823 824 photosynthetic CO<sub>2</sub> assimilation ( $\Delta A$ ; c and d), and the quantum efficiency of CO<sub>2</sub> assimilation 825  $(\Delta \Phi_{CO2}; e \text{ and } f)$  between a mutant with reduced chlorophyll content (Y11y11) and wild-type 826 (WT) before canopy closure early in the growing season (DOY 193 LAI = 2.7: a, c and e) and at 827 peak LAI (DOY 230 LAI=7.5: b, d and f). Color maps shows the difference between Y11y11 and 828 WT canopies (Y11y11 - WT values) at each of the vertical canopy regions above ground 829 normalized by the canopy height (z/h). Positive values (yellow / red) represent regions of the 830 canopy where Y11y11 has a larger value than WT, and blue values are regions where the WT 831 values are higher. The two selected days were both cloudless and received similar amounts of 832 total downwelling radiation (Supplemental 5). Wild-type and Y11y11 canopies were 833 parameterized using genotype-specific chlorophyll contents and leaf area indices. Values are 834 expressed on a ground area basis.

835

836 Figure 5: Vertically resolved differences in absorbed photosynthetically active radiation 837  $(\Delta PPFD_A, a)$  and net CO<sub>2</sub> assimilation  $(\Delta A, c)$  between a simulated soybean canopy with reduced chlorophyll content (Y11y11) and a wild-type (WT) canopy, at mid-day time points for 838 days of year (DOY) spanning the growing season. Vertical profiles (height above ground 839 normalized by canopy height, z/h) are presented for midday periods (1:00PM) of each day 840 throughout the growing season. Also shown are the seasonally-integrated values for  $PPFD_A$  (b) 841 842 and A (d). Color map shows the difference between Y11y11 and WT canopies (Y11y11 - WT 843 values) at each of the canopy regions, normalized by the canopy height (z/h). Positive values 844 (more red) represent regions of the canopy where Y11y11 has a larger value than WT, and 845 more blue values are regions where the WT values are higher. These simulations were driven 846 by field-measured incident radiation, temperatures, and precipitation (see Supplementary Figure 5). Wild-type and Y11y11 canopies were parameterized using genotype-specific 847 848 chlorophyll contents and leaf area indices (Slattery 2017).

849

Figure 6: Season long differences in the midday quantum efficiency of CO<sub>2</sub> assimilation ( $\Delta \Phi_{CO2}$ ) between *Y11y11* soybean mutants (*Y11y11*) and wild-type (WT) across the vertical profile of the

canopies (height above ground normalized by canopy height, z/h) for the 2013 growing season. 852 853 Color map shows the difference between Y11v11 and WT canopies (Y11v11 - WT) at each of the 854 canopy regions above ground normalized by the canopy height (z/h). Positive values (more red) represent regions of the canopy where Y11y11 has a larger value than WT, and more blue 855 values are regions where the WT values are higher. Simulations were performed assuming the 856 genotype-specific chlorophyll content (Chl) and Y11y11 values for leaf area index (LAI) and 857 constant photosynthetic biochemical capacity ( $V_{cmax}$  and  $J_{max}$ ; a), WT LAI and biochemical 858 capacity scaled with Chl (b), WT LAI and a constant photosynthetic biochemical capacity (c) and 859 Y11y11 values for LAI and biochemical capacity scaled with Chl (d). Environmental forcing 860 861 (precipitation, downwelling radiation, temperature,  $H_2O$  vapor pressure and wind speed) for the simulations were taken from the 2013 growing-season. 862

863

Figure 7: Total canopy reflectance (Can<sub>B</sub>; a and b), transmittance (Can<sub>T</sub>; c and d) and absorbance 864  $(Can_{A}; e and f)$  in simulated canopies composed of a range of leaf chlorophyll contents (Chl) 865 going from dark green (500  $\mu$ mol m<sup>-2</sup>) to light green (50  $\mu$ mol m<sup>-2</sup>) according to DOY within the 866 867 growing season. Total values for each optical property were determined by summing the diurnal values for PPFD reflected, transmitted or absorbed by the canopy divided by the 868 869 summed total of diurnal incoming PPFD. Simulations were performed assuming leaf reflectance 870 (Leaf<sub>R</sub>) and transmittance (Leaf<sub>T</sub>) co-varied according to empirical relationships (a, c, e; Equation 1 and 2) derived from observations of diverse soybean lines (see Figure 2) spanning a wide 871 range of Chl as indicated by the decreasing Chl displayed on the y-axis. Alternatively, canopies 872 873 were simulated with Leaf<sub>R</sub> set to a negligible value at every Chl (b, d and f) to show the impact 874 of leaf reflective loss on total canopy optical properties.

875

Figure 8 Impact of chlorophyll content (Chl) reduction on the seasonal simulated soybean photosynthesis ( $A_{can}$ ; a) and the quantum efficiency of CO<sub>2</sub> fixation ( $\Phi_{CO2}$ ; b). Seasonal values are shown for the 2013 growing season (see Supplemental Figure 5). Simulations were performed assuming leaf photosynthetic biochemical capacity remained constant despite chlorophyll content (Chl; circles) or that it scaled with Chl according to Equations 3 and 4 (squares). Leaf reflectance and transmittance were also assumed to both vary with Chl

according to Equations 1 and 2 (filled symbols) or leaf reflectance remained constant despite

changes in Chl (open symbols) to determine the impact of changes in reflective loss on canopyperformance.

Figure 9: The response of total daily canopy carbon assimilation ( $A_{can}$ ) to different assumptions of chlorophyll content (Chl) and canopy nitrogen distribution profiles. Canopy nitrogen profiles were adjusted through varying  $k_n$ , a term describing the exponential decay of nitrogen through

a canopy profile (See Supplemental 7 and Materials and Methods for more detail).

889 Environmental forcing (radiation, temperature, relative humidity...etc) was taken as the

average daily conditions for the 2013 growing season. Shown are the relationships between

891 Chl, nitrogen distribution and canopy A assuming leaf reflectance (Leaf<sub>R</sub>)and transmittance

- 892 (Leaf<sub>T</sub>) co-varied according to empirical relationships (a) and assuming Leaf<sub>R</sub> was negligible (b).
- 893

894 Supplemental Data

Supplemental Figure 1: Ratio of leaf transmittance  $(L_T)$  to reflectance  $(L_R)$  as a function of

896 chlorophyll content

897 Supplemental Figure 2: Relationship between chlorophyll content (Chl) and total carotenoid

content (a) and the ratio of carotenoid to Chl across the 67 reduced-Chl accessions as measured

899 on two separate field days

900 Supplemental Figure 3: Relationship between chlorophyll content (Chl) and leaf reflectance

901 (Leaf<sub>R</sub>, a) and transmittance (Leaf<sub>T</sub>, b) determined from 67 soybean accessions with varying

902 amounts of Chl.

Supplemental Figure 4: Relationship between maximum variable fluorescence and electron transportrate

- 905 Supplemental Figure 5: Impact of variation in chlorophyll content (Chl) on stomatal
- 906 conductance (g<sub>s</sub>) and rates of day respiration (R<sub>d</sub>) in 45 plants from various cultivars of soybean
- 907 as measured by fitting photosynthetic CO<sub>2</sub> response curves
- 908 Supplemental Figure 6: Season-long incident Photosynthetic Photon Flux Density (PPFD; a), air
- temperature (b), precipitation (c) and H<sub>2</sub>O vapor pressure (d) as measured in (Slattery *et al*
- 2017) and used to parameterize our season-long simulations

- 911 Supplemental Figure 7: Seasonal values for measured leaf nitrogen (N), measured chlorophyll
- 912 content (Chl), calculated nitrogen associated with Chl (N in Chl; g m<sup>-2</sup>), and a lower bounds
- calculation of the percent leaf nitrogen associated with Chl (N in Chl; %) for each day of year
- 914 (DOY).
- Supplemental Figure 8: Diurnal differences in absorbed PPFD ( $\Delta$ PPFD<sub>A</sub>; a and b), net
- photosynthetic CO<sub>2</sub> assimilation ( $\Delta A$ ; c and d), and the quantum efficiency of CO<sub>2</sub> assimilation
- 917 ( $\Delta \Phi_{CO2}$ ; e and f) between canopies with chlorophyll content (Chl)=400 and Chl = 200
- 918 representing Wild-type and reduced Chl mutants.
- Supplemental Figure 9: Diurnal differences in absorbed PPFD radiation ( $\Delta$ PPFD<sub>A</sub>; a and b), net
- photosynthetic CO<sub>2</sub> assimilation ( $\Delta A$ ; c and d), and the quantum efficiency of CO<sub>2</sub> assimilation
- 921 ( $\Delta \Phi_{CO2}$ ; e and f) between canopies with chlorophyll content (Chl)=400 and Chl = 200
- 922 representing Wild-type and reduced Chl mutants.
- 923 Supplemental Figure 10: Season long relationships between the daily midday differences in the
- quantum efficiency of CO<sub>2</sub> assimilation ( $\Delta \Phi_{CO2}$ ) between Y11y11 soybean mutants and wild-
- type (WT) and net carbon assimilation (A<sub>n</sub>; a) and absorbed photosynthetic photon flux density
- 926 (PPFD<sub>A</sub>; b)
- 927 Supplemental Figure 11: Impact of chlorophyll content (Chl) reduction on carbon fixation in
- 928 simulated soybean canopies
- 929 Supplemental Figure 12: Impact of changes to the coefficient of nitrogen distribution (k<sub>n</sub>) on the
- 930 vertical distribution of nitrogen through the canopy profile compared to the Photosynthetic
- 931 Photon Flux Density (PPFD) absorbed normalized to the uppermost canopy layer of nitrogen
- 932 partitioning or absorption
- 933 Supplemental Figure 13: Relationship between SPAD and chlorophyll content (Chl) as measured
- 934 in various soybean cultivars with differing Chl
- 935 Supplemental Data: Cultivars and Gas Exchange Measurements
- 936
- 937
- 938
- 939
- 940

943	
944	Supplemental 1: Ratio of leaf transmittance $(L_T)$ to reflectance $(L_R)$ as a function of chlorophyll
945	content (Chl) across mutants with reduced leaf Chl shown as a function of Chl (a) and as an
946	average of all Chl with standard deviations indicated by the grayed area and a 1:1 ratio
947	indicated by the blue line (b).
948	
949	Supplemental 2: Relationship between chlorophyll content (Chl) and total carotenoid content
950	(a) and the ratio of carotenoid to Chl across the 67 reduced-Chl accessions as measured on two
951	separate field days.
952	
953	Supplemental 3: Relationship between chlorophyll content (Chl) and leaf reflectance (Leaf $_R$ , a)
954	and transmittance (Leaf <sub>T</sub> , b) determined from 67 soybean accessions with varying amounts of
955	Chl.
956	
957	Supplemental 4: Relationship between maximum variable fluorescence ( $F_{\nu}/F_{m};a)$ and electron
958	transport rate (ETR; b) estimated by imaging dark-adapted leaf punches of soybean mutants
959	with decreased chlorophyll content (Chl) using a chlorophyll fluorescence imager.
960	
961	Supplemental 5: Impact of variation in chlorophyll content (Chl) on stomatal conductance $(g_s)$
962	and rates of day respiration ( $R_d$ ) in 45 plants from various cultivars of soybean as measured by
963	fitting photosynthetic CO <sub>2</sub> response curves.
964	
965	Supplemental 6: Season-long incident Photosynthetic Photon Flux Density (PPFD; a), air
966	temperature (b), precipitation (c) and $H_2O$ vapor pressure (d) as measured in (Slattery <i>et al</i>
967	2017) and used to parameterize our season-long simulations. Also shown are the Leaf Area
968	Indices (LAI, e) and chlorophyll contents (Chl; f) used to simulate the wild-type (WT) or Y11y11
969	canopies in figures 4 and 5.

970

971 Supplemental 7: Seasonal values for measured leaf nitrogen (N), measured chlorophyll content (Chl), calculated nitrogen associated with Chl (N in Chl;  $g m^{-2}$ ), and a lower bounds calculation of 972 the percent leaf nitrogen associated with Chl (N in Chl; %) for each day of year (DOY). 973 974 Supplemental 8: Diurnal differences in absorbed PPFD ( $\Delta$ PPFD<sub>A</sub>; a and b), net photosynthetic 975 CO<sub>2</sub> assimilation ( $\Delta A$ ; c and d), and the quantum efficiency of CO<sub>2</sub> assimilation ( $\Delta \Phi_{CO2}$ ; e and f) 976 977 between canopies with chlorophyll content (Chl)=400 and Chl = 200 representing Wild-type and reduced Chl mutants. 978 979 Supplemental 9: Diurnal differences in absorbed PPFD radiation ( $\Delta$ PPFD<sub>A</sub>; a and b), net 980 photosynthetic CO<sub>2</sub> assimilation ( $\Delta A_i$ ; c and d), and the quantum efficiency of CO<sub>2</sub> assimilation 981 982  $(\Delta \Phi_{CO2}; e \text{ and } f)$  between canopies with chlorophyll content (Chl)=400 and Chl = 200 representing Wild-type and reduced Chl mutants. 983 984 985 Supplemental 10: Season long relationships between the daily midday differences in the 986 guantum efficiency of CO<sub>2</sub> assimilation ( $\Delta \Phi_{CO2}$ ) between Y11y11 soybean mutants and wildtype (WT) and net carbon assimilation (A<sub>n</sub>; a) and absorbed photosynthetic photon flux density 987  $(PPFD_A; b).$ 988 989 Supplemental 11: Impact of chlorophyll content (Chl) reduction on carbon fixation in simulated 990 soybean canopies. 991 992 993 Supplemental 12: Impact of changes to the coefficient of nitrogen distribution ( $k_n$ ) on the vertical distribution of nitrogen through the canopy profile compared to the Photosynthetic 994 Photon Flux Density (PPFD) absorbed normalized to the uppermost canopy layer of nitrogen 995 partitioning or absorption (See Materials and Methods for details on  $k_n$ ). 996 997

- 998 Supplemental 13: Relationship between SPAD and chlorophyll content (Chl) as measured in
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